

CSE 167:  
Introduction to Computer Graphics  
Lecture #6: Shading

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# Announcements

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- ▶ Homework project #3 due this Friday, October 15
  - ▶ To be presented between 2-4pm in lab 260
  - ▶ NEW RULE: Grading ends once list on whiteboard is empty!
- ▶ Late submissions for project #2 accepted until this Friday
- ▶ Midterm exam: Thursday, Oct 21, 2-3:20pm, WLH 2005

# Lecture Overview

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## **Color**

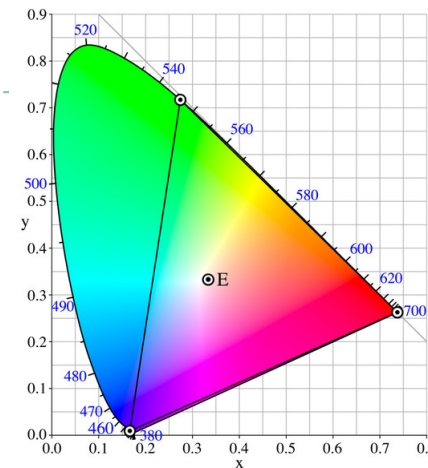
- ▶ Color reproduction on computer monitors
- ▶ Perceptually uniform color spaces

## **Shading**

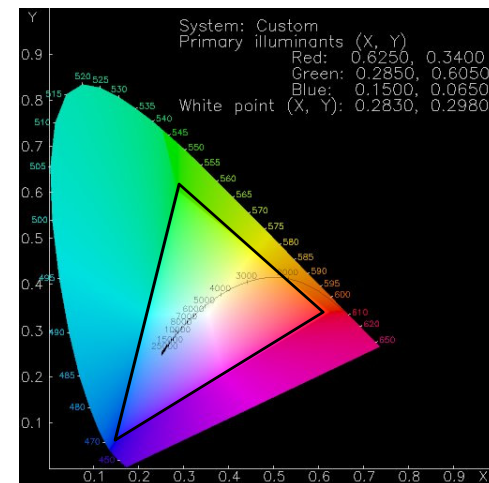
- ▶ Introduction
- ▶ Local shading models

# RGB Monitors

- ▶ Given red, green, blue (RGB) values, what color will your monitor produce?
- ▶ I.e., what are the CIE XYZ or CIE RGB coordinates of the displayed color?
- ▶ How are OpenGL RGB values related to CIE XYZ, CIE RGB?
- ▶ Often you don't know!
- ▶ OpenGL RGB  $\neq$  CIE XYZ, CIE RGB



Gamut of CIE RGB primaries



Gamut of typical CRT monitor

# RGB Monitors

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## **Ideally:**

- ▶ We know XYZ values for RGB primaries

$$(X_r, Y_r, Z_r) (X_g, Y_g, Z_g) (X_b, Y_b, Z_b)$$

- ▶ Monitor is linear
- ▶ RGB signal corresponds to weighted sum of primaries:

$$\begin{bmatrix} X_s \\ Y_s \\ Z_s \end{bmatrix} = r \begin{bmatrix} X_r \\ Y_r \\ Z_r \end{bmatrix} + g \begin{bmatrix} X_g \\ Y_g \\ Z_g \end{bmatrix} + b \begin{bmatrix} X_b \\ Y_b \\ Z_b \end{bmatrix}$$
$$\begin{bmatrix} X_s \\ Y_s \\ Z_s \end{bmatrix} = \begin{bmatrix} X_r & X_g & X_b \\ Y_r & Y_g & Y_b \\ Z_r & Z_g & Z_b \end{bmatrix} \begin{bmatrix} r \\ g \\ b \end{bmatrix}$$

# RGB Monitors

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- ▶ Given desired XYZ values, find rgb values by inverting matrix

$$\begin{bmatrix} X_s \\ Y_s \\ Z_s \end{bmatrix} \begin{bmatrix} X_r & X_g & X_b \\ Y_r & Y_g & Y_b \\ Z_r & Z_g & Z_b \end{bmatrix}^{-1} = \begin{bmatrix} r \\ g \\ b \end{bmatrix}$$

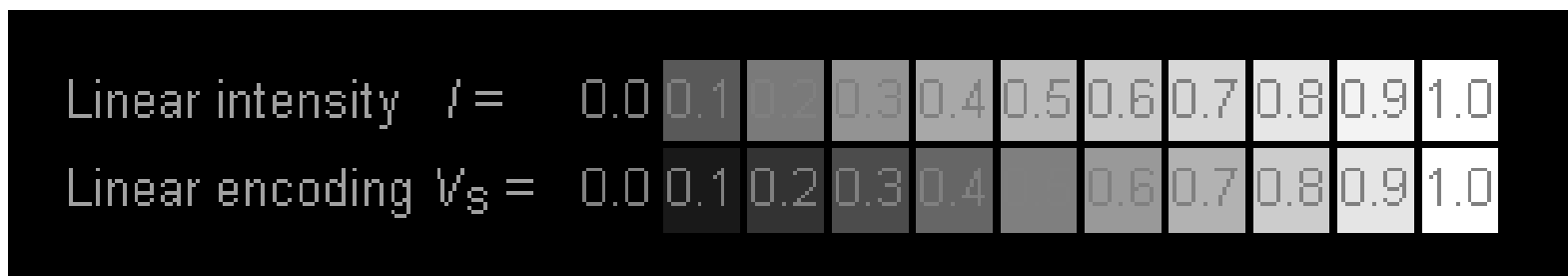
- ▶ Similar to change of coordinate systems for 3D points

# RGB Monitors

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## In reality

- ▶ XYZ values for monitor primaries are usually not directly specified
  - ▶ Monitor brightness is adjustable
- ▶ Monitors are not linear



- ▶ For typical CRT monitors  $I = V_s^\gamma$   
 $\gamma \approx 2.2$

# sRGB

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- ▶ Standard color space, with standard conversion to CIE XYZ
- ▶ Designed to match RGB values of typical monitor under typical viewing conditions
  - ▶ If no calibration information available, it is best to interpret RGB values as sRGB
- ▶ sRGB is supported by OpenGL 2.0 with the `ARB_framebuffer_sRGB` extension
- ▶ For more details and transformation from CIE XYZ to sRGB:  
[http://en.wikipedia.org/wiki/SRGB\\_color\\_space](http://en.wikipedia.org/wiki/SRGB_color_space)



# Conclusions

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- ▶ Color reproduction on consumer monitors is less than perfect
  - ▶ The same RGB values on one monitor look different than on another
  - ▶ Given a color in CIE XYZ coordinates, consumer systems do not reliably produce that color
- ▶ Need color calibration
  - ▶ Consumers do not seem to care
  - ▶ Standard for digital publishing, printing, photography

Display calibration



# Lecture Overview

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## **Color**

- ▶ Color reproduction on computer monitors
- ▶ **Perceptually uniform color spaces**

## **Shading**

- ▶ Introduction
- ▶ Local shading models

# Perceptually Uniform Color Spaces

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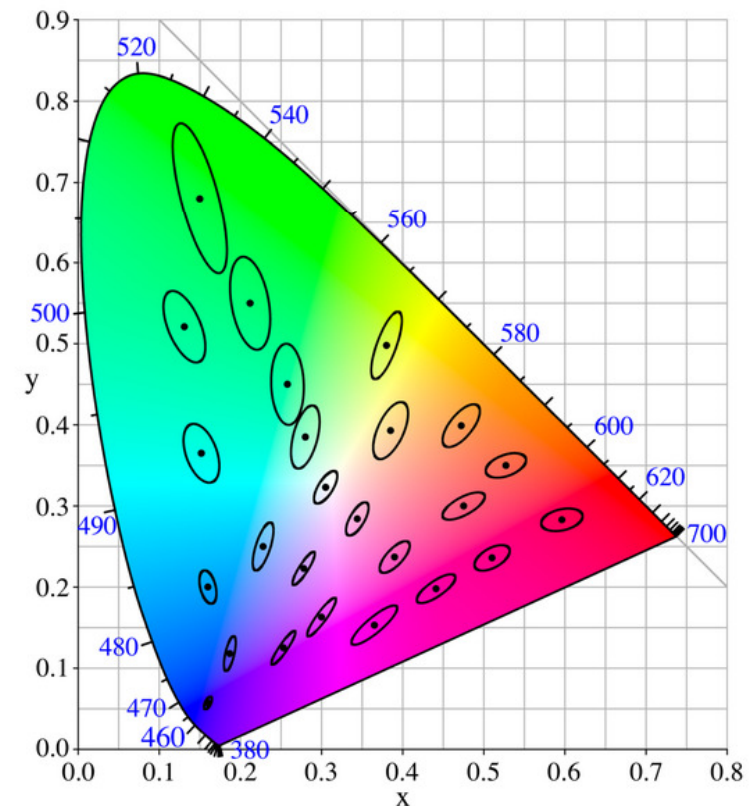
## **Definition:**

Euclidean distance between color coordinates corresponds to perceived difference.

- ▶ CIE RGB, XYZ are not perceptually uniform:
  - ▶ Euclidean distance between RGB, XYZ coordinates does not correspond to perceived difference

# MacAdam Ellipses

- ▶ Experiment (1942) to identify regions in CIE xy color space that are perceived as the same color
- ▶ Found elliptical areas, MacAdam ellipses
- ▶ In perceptually uniform color space, each point on an ellipse should have the same distance to the center
  - ▶ Ellipses become circles



MacAdam ellipses

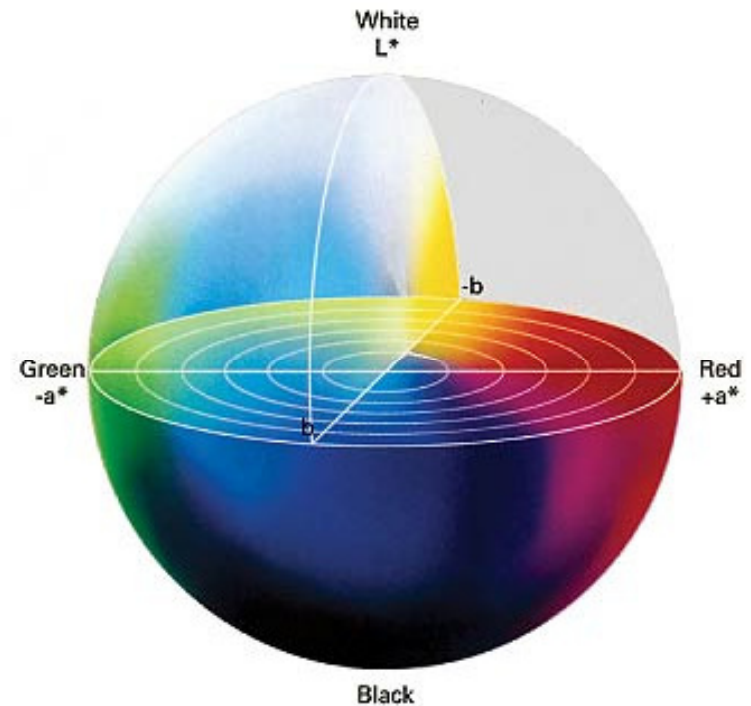
# CIE $L^*, a^*, b^*$ (CIELAB)

- ▶ Most common perceptually uniform color space

- ▶  $L^*$  encodes lightness
- ▶  $a^*$  encodes position between magenta and green
- ▶  $b^*$  encodes position between yellow and blue

- ▶ Uses asterisk (\*) to distinguish from Hunter's Lab color space

- ▶ Conversion between CIE XYZ and CIELAB is *non-linear*



CIELAB color space

## Further Reading

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### ▶ Wikipedia pages

- ▶ [http://en.wikipedia.org/wiki/CIE\\_1931\\_color\\_space](http://en.wikipedia.org/wiki/CIE_1931_color_space)
- ▶ <http://en.wikipedia.org/wiki/CIELAB>

### ▶ More details

- ▶ <http://www.fho-emden.de/~hoffmann/ciexyz29082000.pdf>

# Lecture Overview

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## **Color**

- ▶ Color reproduction on computer monitors
- ▶ Perceptually uniform color spaces

## **Shading**

- ▶ **Introduction**
- ▶ Local shading models

# Shading

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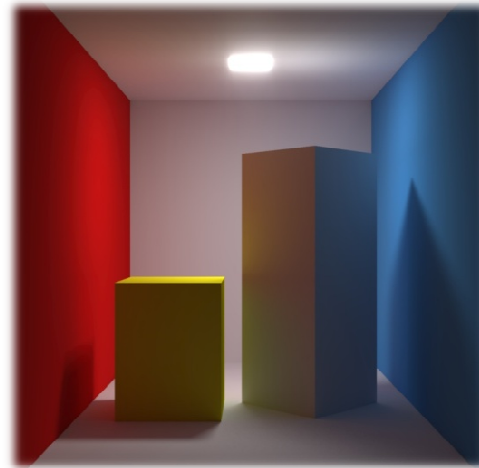
- ▶ Compute interaction of light with surfaces
- ▶ Requires simulation of physics
- ▶ “Global illumination”
  - ▶ Multiple bounces of light
  - ▶ Computationally expensive, minutes per image
  - ▶ Used in movies, architectural design, etc.



# Global Illumination

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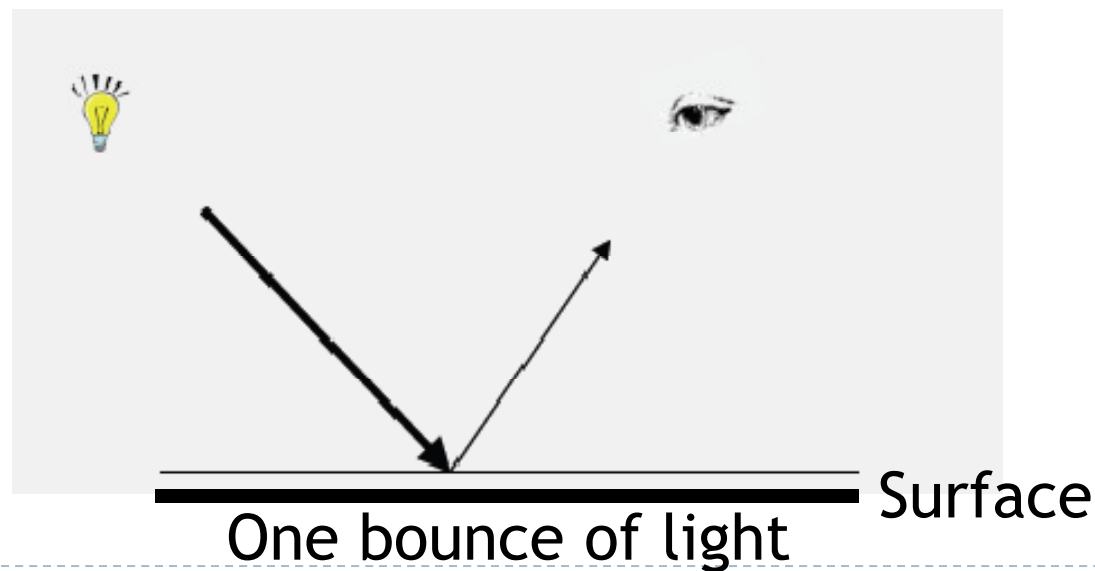
- ▶ Covered by CSEI68



# Interactive Applications

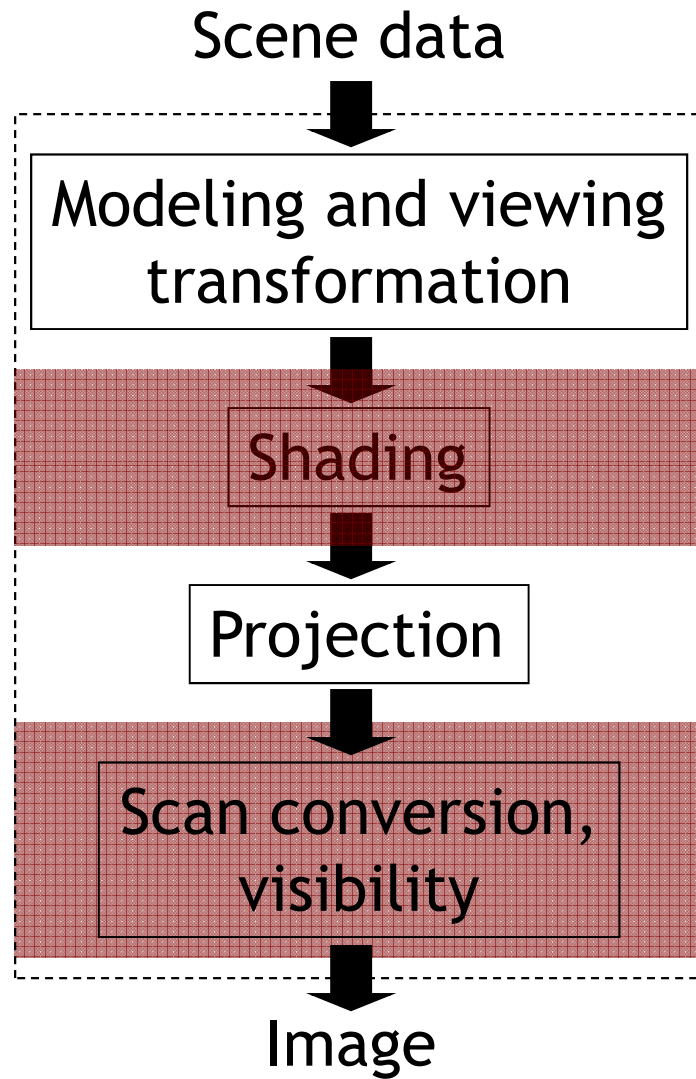
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- ▶ No physics-based simulation
- ▶ Simplified models
- ▶ Reproduce perceptually most important effects
- ▶ Local illumination
  - ▶ Only one bounce of light between light source and viewer



# Rendering Pipeline

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- Position object in 3D
- Determine colors of vertices
  - Per vertex shading
- Map triangles to 2D
- Draw triangles
  - Per pixel shading

# Lecture Overview

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## **Color**

- ▶ Color reproduction on computer monitors
- ▶ Perceptually uniform color spaces

## **Shading**

- ▶ Introduction
- ▶ Local shading models

# Local Illumination

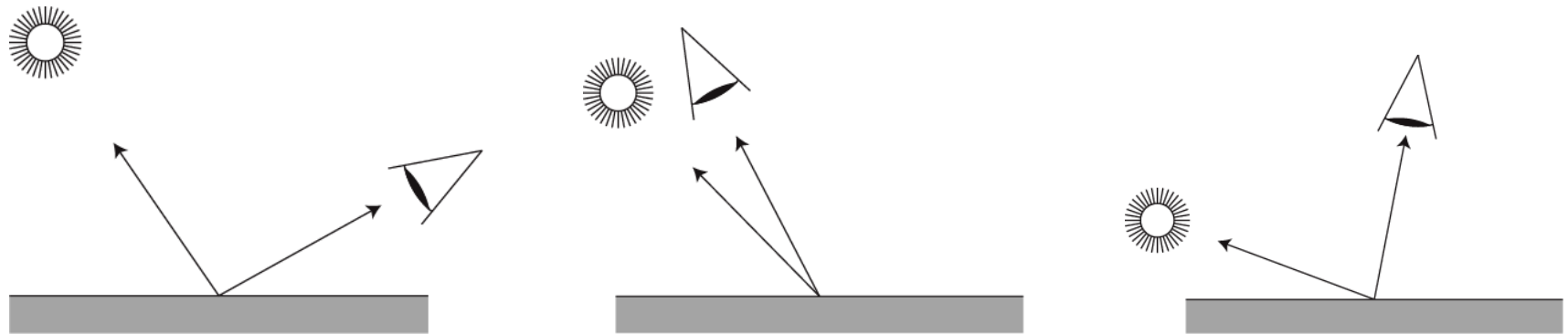
- ▶ What gives a material its color?
- ▶ How is light reflected by a
  - ▶ Mirror
  - ▶ White sheet of paper
  - ▶ Blue sheet of paper
  - ▶ Glossy metal



# Local Illumination

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- ▶ **Model reflection of light at surfaces**
  - ▶ Assumption: no subsurface scattering
- ▶ **Bidirectional reflectance distribution function (BRDF)**
  - ▶ Given light direction, viewing direction, how much light is reflected towards the viewer
  - ▶ For any pair of light/viewing directions!

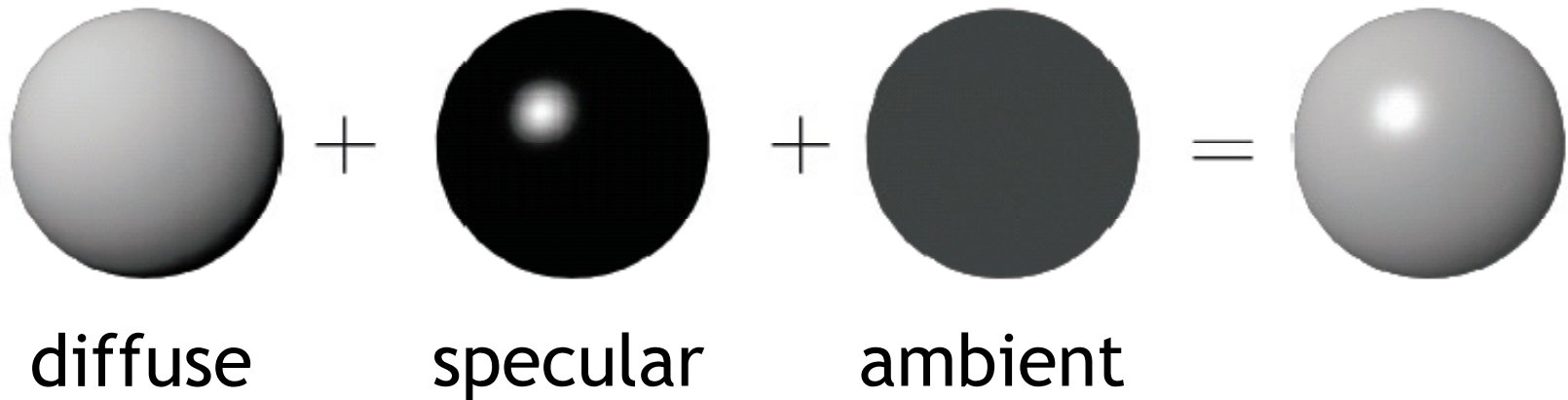


# Local Illumination

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## **Simplified model**

- ▶ Sum of 3 components
- ▶ Covers a large class of real surfaces

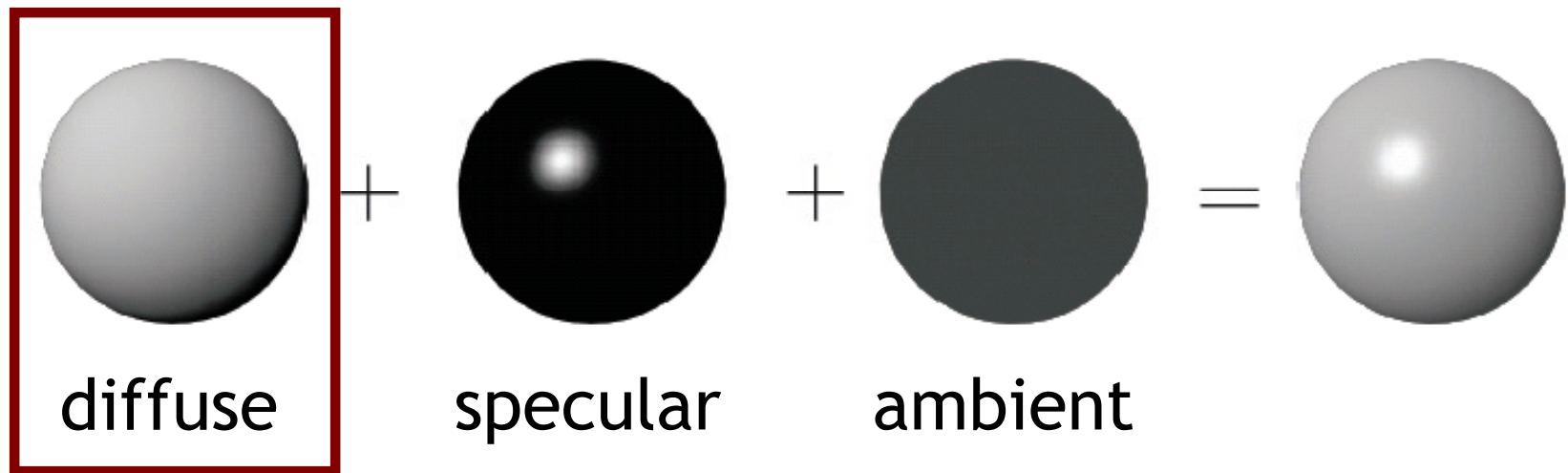


# Local Illumination

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## **Simplified model**

- ▶ Sum of 3 components
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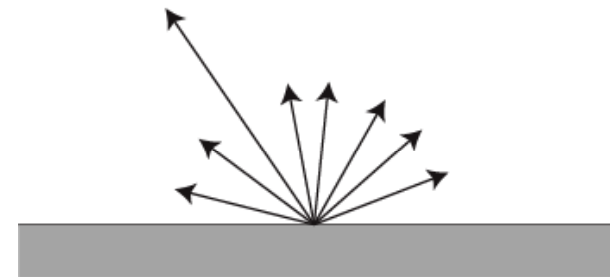




# Diffuse Reflection

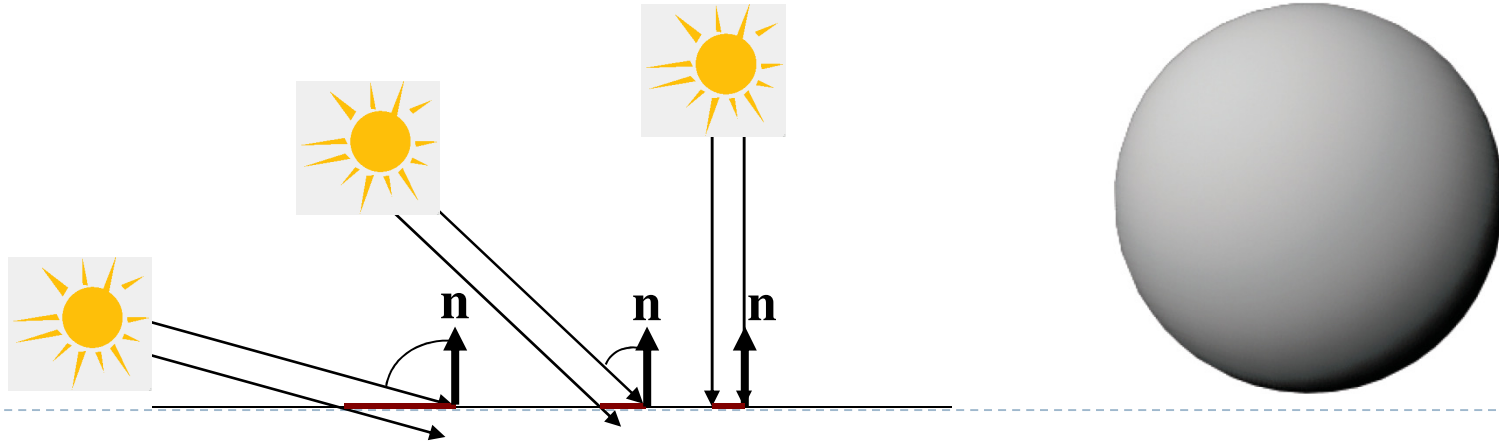
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- ▶ Ideal diffuse material reflects light equally in all directions
- ▶ View-independent
- ▶ Matte, not shiny materials
  - ▶ Paper
  - ▶ Unfinished wood
  - ▶ Unpolished stone



# Diffuse Reflection

- ▶ Beam of parallel rays shining on a surface
  - ▶ Area covered by beam varies with the angle between the beam and the normal
  - ▶ The larger the area, the less incident light per area
  - ▶ Incident light per unit area is proportional to the cosine of the angle between the normal and the light rays
- ▶ Object darkens as normal turns away from light
- ▶ Lambert's cosine law (Johann Heinrich Lambert, 1760)
- ▶ Diffuse surfaces are also called Lambertian surfaces



# Diffuse Reflection

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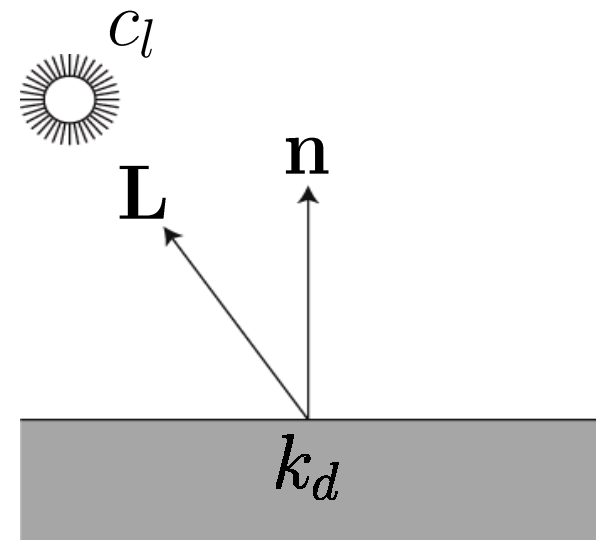
## ▶ Given

- ▶ Unit surface normal  $\mathbf{n}$
- ▶ Unit light direction  $\mathbf{L}$
- ▶ Material diffuse reflectance (material color)  $k_d$
- ▶ Light color (intensity)  $c_l$

## ▶ Diffuse color $c_d$ is:

$$c_d = c_l k_d (\mathbf{n} \cdot \mathbf{L})$$

Proportional to cosine  
between normal and light



# Diffuse Reflection

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## Notes

- ▶ Parameters  $k_d$ ,  $c_l$  are r,g,b vectors
- ▶ Need to compute r,g,b values of diffuse color  $c_d$  separately
- ▶ Parameters in this model have no precise physical meaning
  - ▶  $c_l$ : strength, color of light source
  - ▶  $k_d$ : fraction of reflected light, material color

# Diffuse Reflection

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- ▶ Provides visual cues
  - ▶ Surface curvature
  - ▶ Depth variation



Lambertian (diffuse) sphere under different lighting directions

# OpenGL

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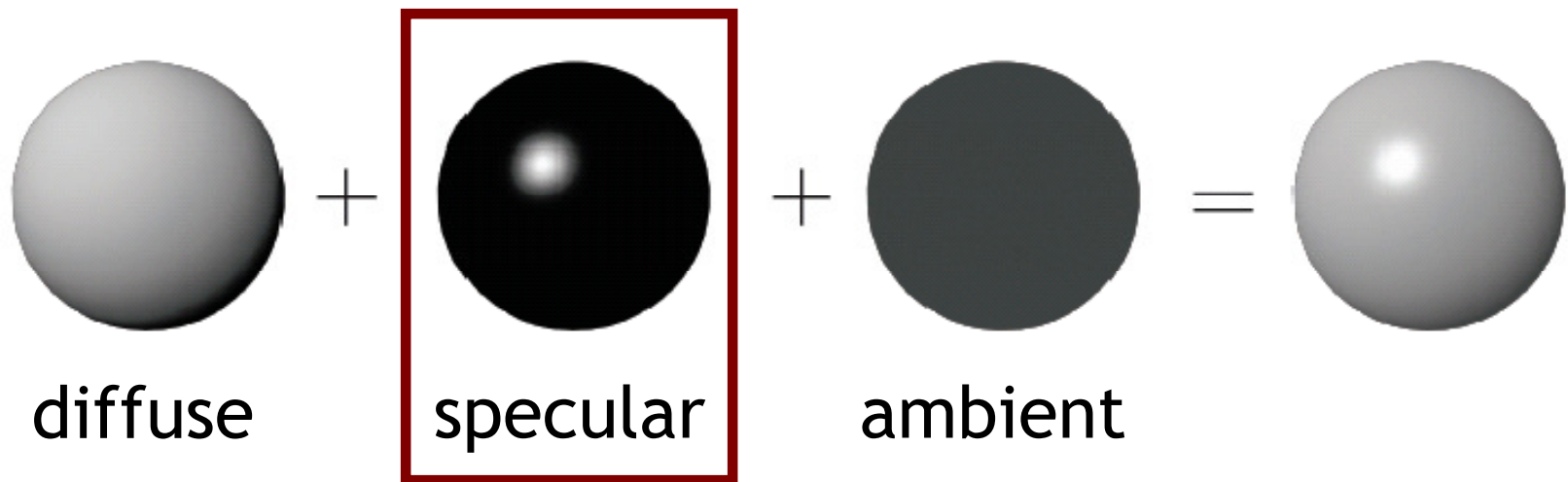
- ▶ **Lights (glLight\*)**
  - ▶ Values for light:  $(0, 0, 0) \leq c_l \leq (1, 1, 1)$
  - ▶ Definition:  $(0,0,0)$  is black,  $(1,1,1)$  is white
- ▶ **OpenGL**
  - ▶ Values for diffuse reflection
  - ▶ Fraction of reflected light:  $(0, 0, 0) \leq k_d \leq (1, 1, 1)$
- ▶ **Consult OpenGL Programming Guide (Red Book)**
  - ▶ See course web site

# Local Illumination

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## **Simplified model**

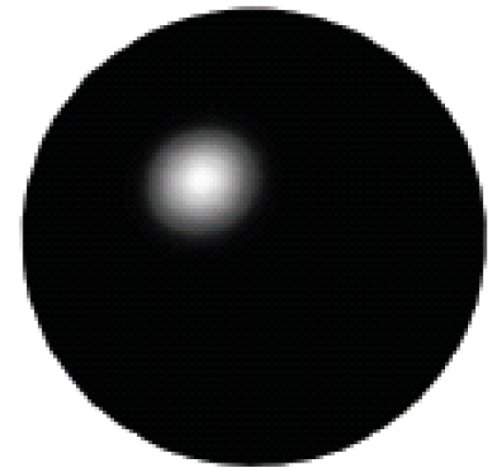
- ▶ Sum of 3 components
- ▶ Covers a large class of real surfaces



# Specular Reflection

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- ▶ **Shiny surfaces**
  - ▶ Polished metal
  - ▶ Glossy car finish
  - ▶ Plastics
- ▶ **Specular highlight**
  - ▶ Blurred reflection of the light source
  - ▶ Position of highlight depends on viewing direction



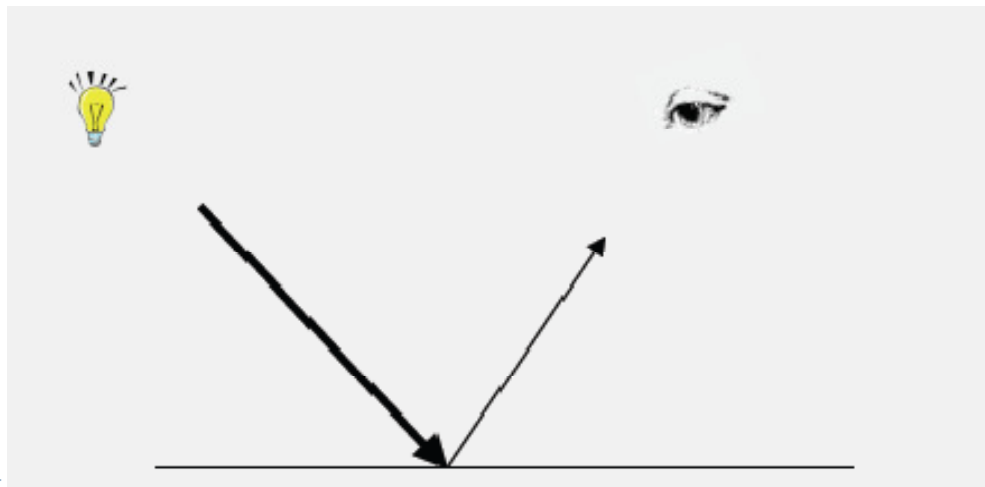
Specular highlight



# Specular Reflection

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- ▶ Ideal specular reflection is mirror reflection
  - ▶ Perfectly smooth surface
  - ▶ Incoming light ray is bounced in single direction
  - ▶ Angle of incidence equals angle of reflection

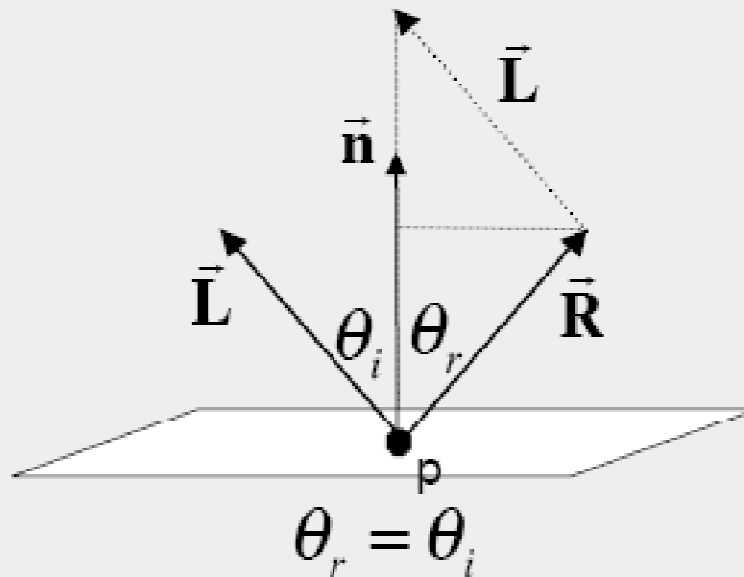


# Law of Reflection

- ▶ Angle of incidence equals angle of reflection

$$\vec{\mathbf{R}} + \vec{\mathbf{L}} = 2 \cos \theta \, \vec{\mathbf{n}} = 2(\vec{\mathbf{L}} \cdot \vec{\mathbf{n}}) \vec{\mathbf{n}}$$

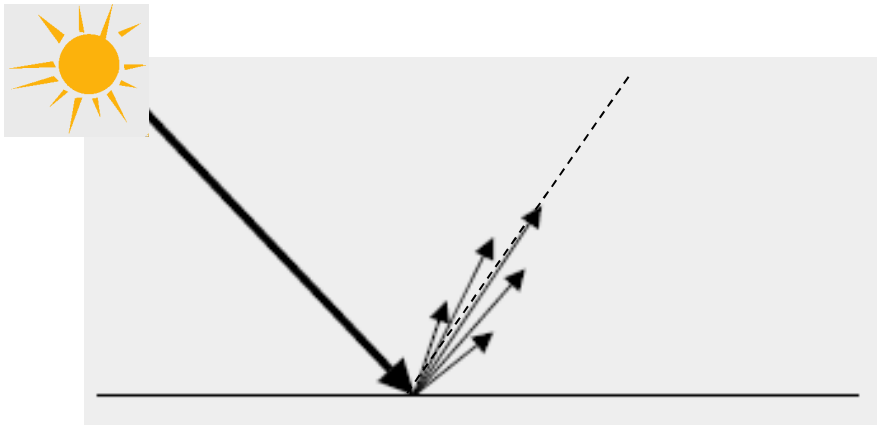
$$\vec{\mathbf{R}} = 2(\vec{\mathbf{L}} \cdot \vec{\mathbf{n}}) \vec{\mathbf{n}} - \vec{\mathbf{L}}$$



# Specular Reflection

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- ▶ Many materials are not perfect mirrors
  - ▶ Glossy materials



Glossy teapot

# Glossy Materials

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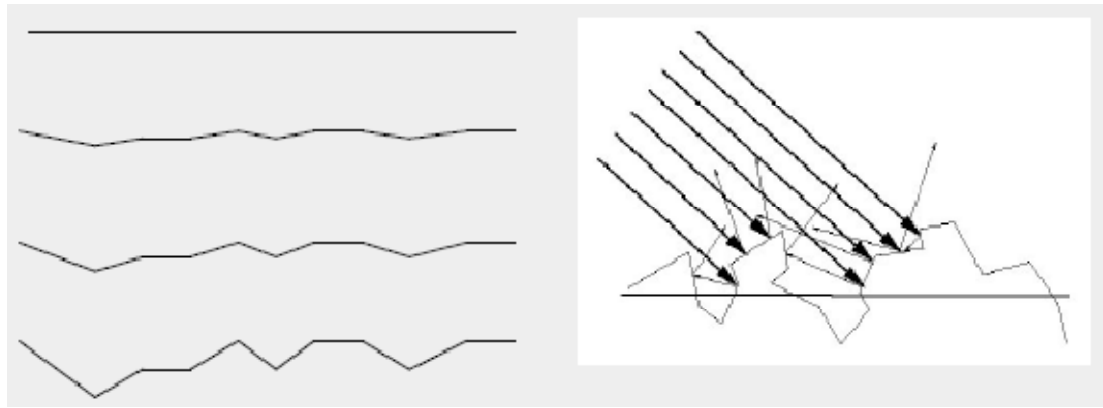
- ▶ Assume surface composed of small mirrors with random orientation (micro-facets)
- ▶ Smooth surfaces
  - ▶ Micro-facet normals close to surface normal
  - ▶ Sharp highlights
- ▶ Rough surfaces
  - ▶ Micro-facet normals vary strongly
  - ▶ Blurry highlight

Polished

Smooth

Rough

Very rough



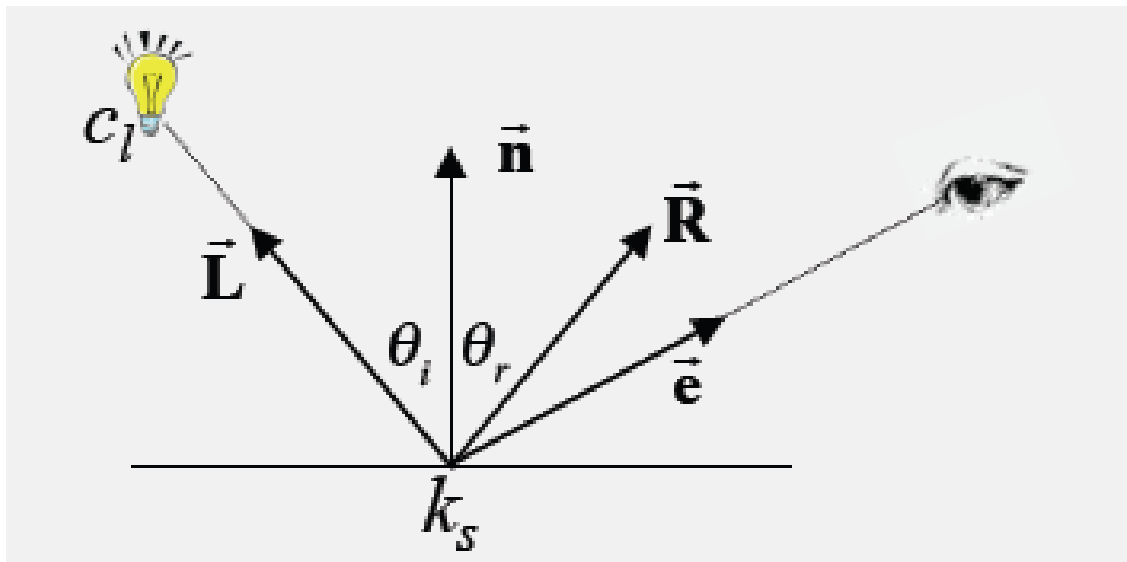
# Glossy Surfaces

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- ▶ Expect most light to be reflected in mirror direction
- ▶ Because of micro-facets, some light is reflected slightly off ideal reflection direction
- ▶ Reflection
  - ▶ Brightest when view vector is aligned with reflection
  - ▶ Decreases as angle between view vector and reflection direction increases

## Phong Model (Bui Tuong Phong, 1973 )

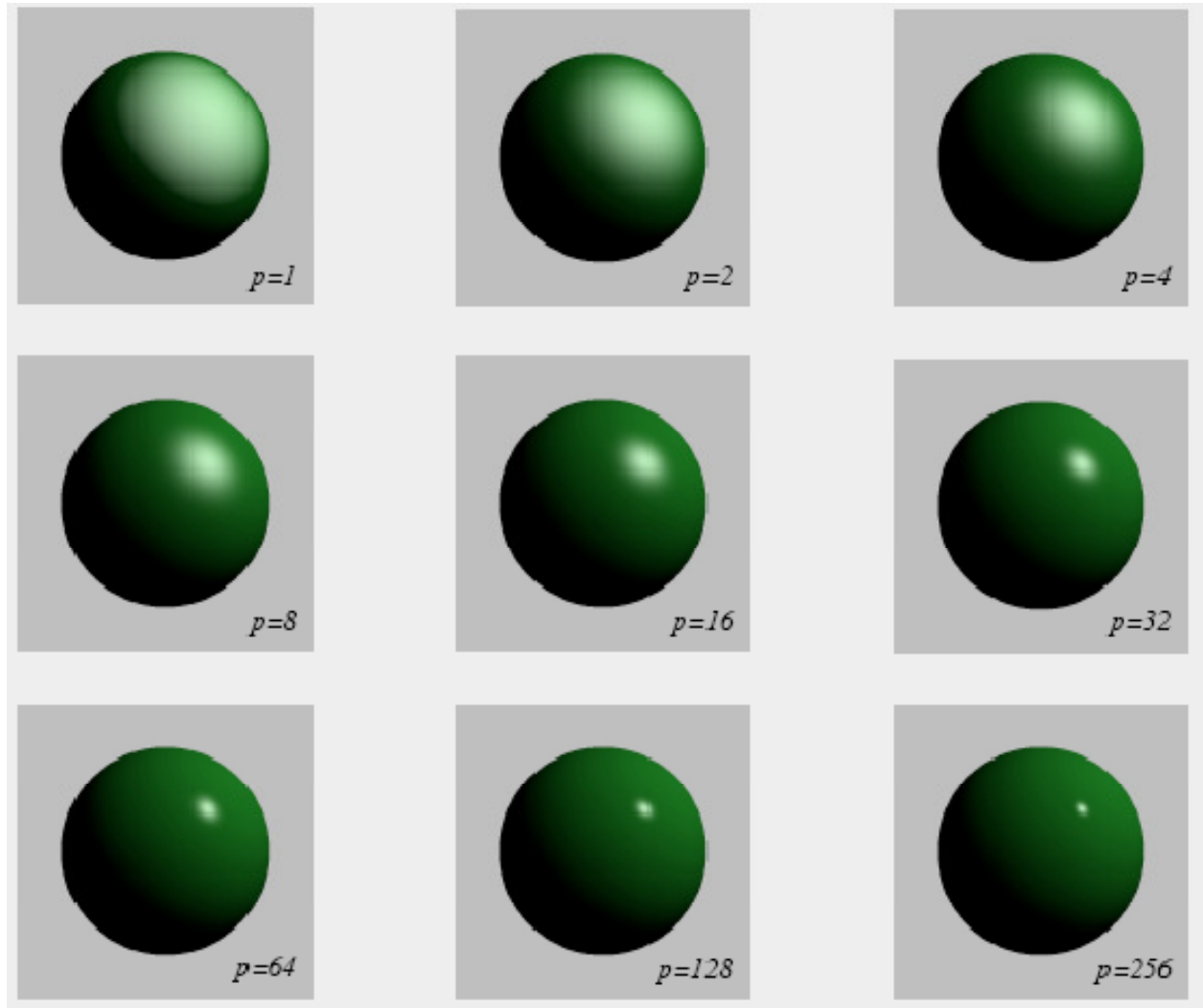
- ▶ Specular reflectance coefficient  $k_s$
- ▶ Phong exponent  $p$ 
  - ▶ Greater  $p$  means smaller (sharper) highlight



$$c = k_s c_l (\mathbf{R} \cdot \mathbf{e})^p$$

# Phong Model

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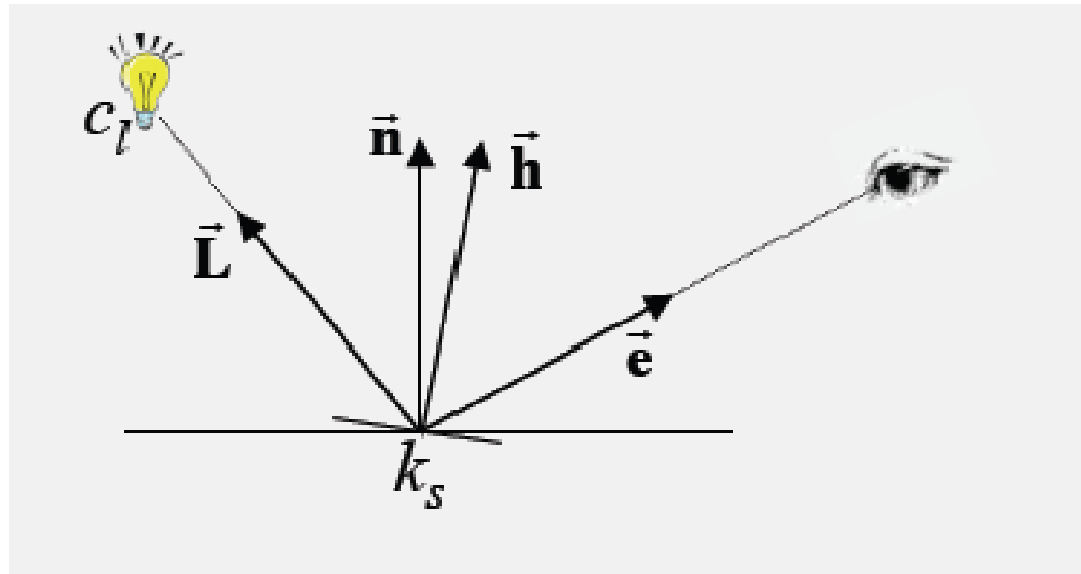
# Blinn Model (Jim Blinn, 1977)

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- ▶ Define unit halfway vector

$$\mathbf{h} = \frac{\mathbf{L} + \mathbf{e}}{\|\mathbf{L} + \mathbf{e}\|}$$

- ▶ Halfway vector represents normal of micro-facet that would lead to mirror reflection to the eye

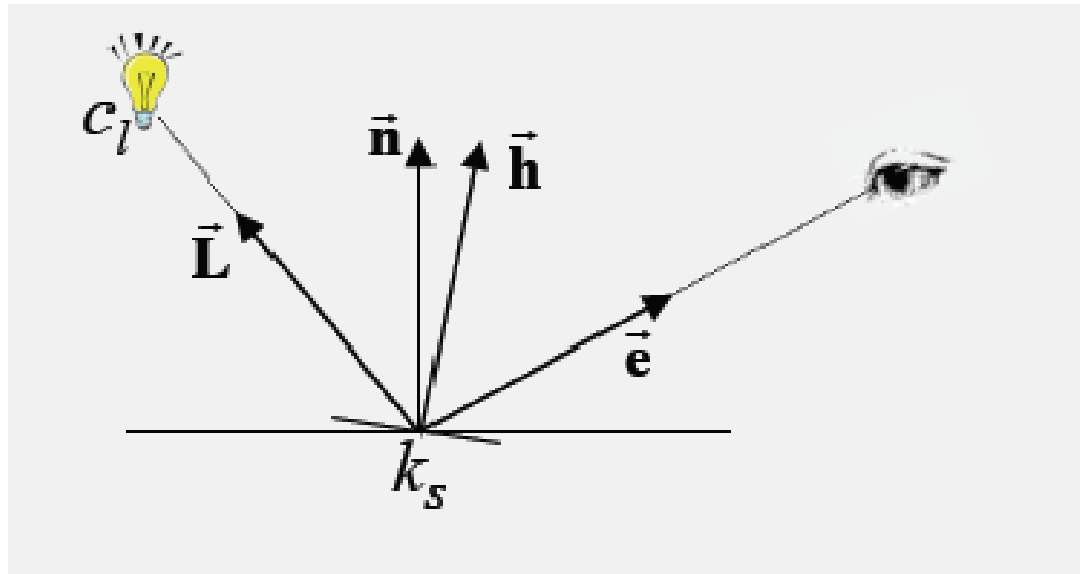




# Blinn Model

- ▶ The larger the angle between micro-facet orientation and normal, the less likely
- ▶ Use cosine of angle between them
- ▶ Shininess parameter
- ▶ Very similar to Phong

$s$



$$c = k_s c_l (\mathbf{h} \cdot \mathbf{n})^s$$

# Local Illumination

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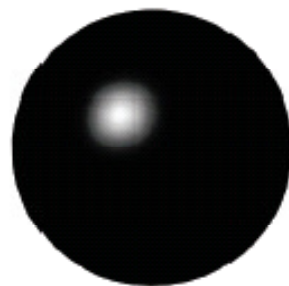
## **Simplified model**

- ▶ Sum of 3 components
- ▶ Covers a large class of real surfaces



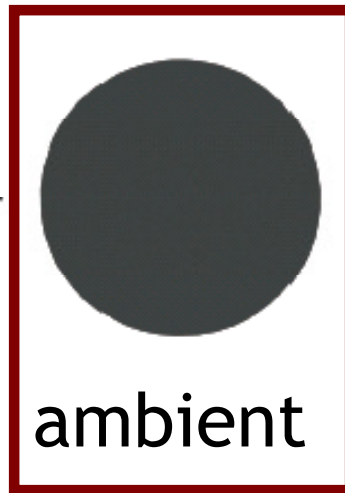
diffuse

+



specular

+



ambient

=



# Ambient Light

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- ▶ In real world, light is bounced all around scene
- ▶ Could use global illumination techniques to simulate
- ▶ Simple approximation
  - ▶ Add constant ambient light at each point:  $k_a c_a$
  - ▶ Ambient light color:  $c_a$
  - ▶ Ambient reflection coefficient:  $k_a$
- ▶ Areas with no direct illumination are not completely dark

# Complete Blinn Model

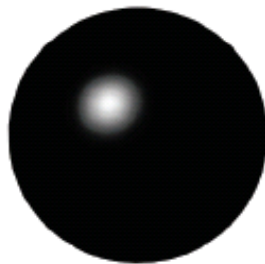
- ▶ Blinn model with several light sources  $I$
- ▶ All colors and reflection coefficients have separate values for red, green, blue

$$c = \sum_i c_{l_i} (k_d (\mathbf{L}_i \cdot \mathbf{n}) + k_s (\mathbf{h}_i \cdot \mathbf{n})^s) + k_a c_a$$



diffuse

+



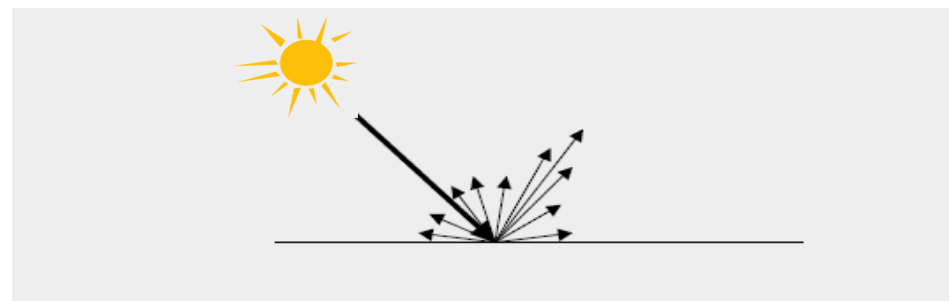
specular

+



ambient

=



# BRDFs

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- ▶ Diffuse, Phong, Blinn models are instances of *bidirectional reflectance distribution functions* (BRDFs)
- ▶ For each pair of light directions  $\mathbf{L}$ , viewing direction  $\mathbf{e}$ , return fraction of reflected light
- ▶ Shading with general BRDF  $f$

$$c = \sum_i c_{li} f(\mathbf{L}_i, \mathbf{e})$$

- ▶ Many forms of BRDFs in graphics, often named after inventors
  - ▶ Cook-Torrance
  - ▶ Ward
  - ▶ ...

# Next Lecture

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- ▶ Light sources
- ▶ Shader programming:
  - ▶ Vertex shaders
  - ▶ Fragment shaders

