

# Genetic Algorithms & Genetic Programming

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## Outline

- Evolutionary Computation
- Basic GA
- An example: GABIL
- Genetic Programming
- Individual Learning & Population Evolution

## Evolutionary Computation

- Computational procedures patterned after biological evolution. Operators:
  - Inherit
  - Crossover
  - Mutation
- Based on probability theory

GA(Fitness, Fitness\_threshold, p, r, m)

- Initialize:  $P \leftarrow p$  random hypotheses
- Evaluate: for each  $h$  in  $P$ , compute  $\text{Fitness}(h)$
- While  $\max(\text{Fitness}(h)) < \text{Fitness\_threshold}$ 
  - Select: probabilistically select  $(1-r)*p$  members of  $P$  to add to  $P_s$ .
    - $\text{Pr}(h_i) = \text{Fitness}(h_i) / \text{Sum}(\text{Fitness}(h_k))$
  - Crossover: probabilistically select  $r*p/2$  pairs of hypotheses from  $P$ . For each pair,  $\langle h_1, h_2 \rangle$ , produce two offspring by applying the Crossover operator. Add all offspring to  $P_s$ .

## GA(Fitness, Fitness\_threshold, p, r, m)

- Mutate: invert a randomly selected bit in  $m \cdot p$  random members of  $P_s$
- Update:  $P \leftarrow P_s$ .
- Evaluate: for each  $h$  in  $P$ , compute  $\text{Fitness}(h)$
- Return the hypothesis from  $P$  that has the highest fitness

## Representing Hypotheses

### •Represent

(Outlook = Overcast OR Rain) AND (Wind = Strong)

| By | Outlook | Wind |
|----|---------|------|
|    | 011     | 10   |

### •Represent

IF Wind = Strong THEN PlayTennis = yes

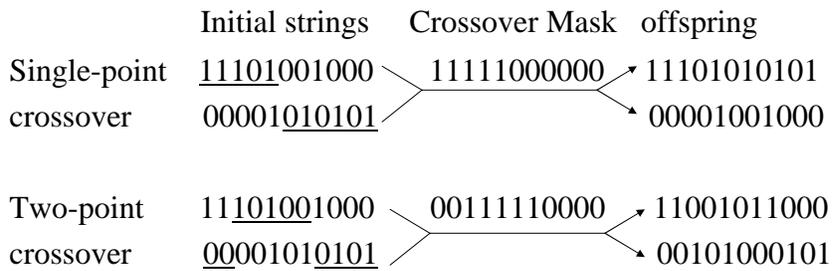
| By | Outlook | Wind | PlayTennis |
|----|---------|------|------------|
|    | 111     | 10   | 10         |

Note: Outlook: Sunny, Overcast, Rain

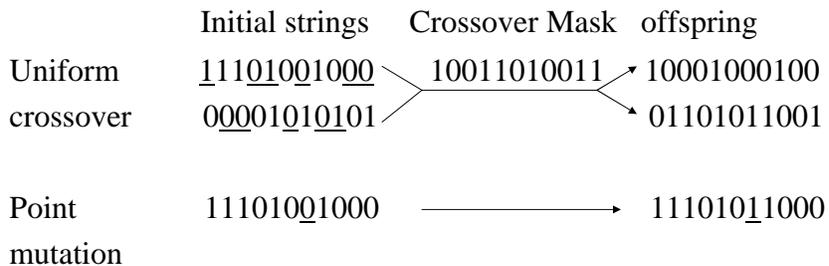
Wind: Strong, Weak

PlayTennis: yes, no

## Operators for GA



## Operators for GA



## Select most fit hypotheses

- Fitness proportionate selection
  - $\text{Pr}(h_i) = \text{Fitness}(h_i) / \text{Sum}(\text{Fitness}(h_k))$
  - Can lead to crowding
- Alternatives
  - Tournament selection
    - Pick  $h_1$  and  $h_2$  randomly
    - With probability  $p$ , select the more fit one from  $h_1$  and  $h_2$
  - Rank selection
    - Sort all hypotheses by their fitness
    - Prob. of selection is proportional to its rank
- Complexity and generality

## GABIL [Dejong et al. 1993]

- Learn disjunctive set of propositional rules
- Fitness:
  - $\text{Fitness}(h) = (\text{correct}(h))^2$
- Representation:
  - IF  $a_1=T$  AND  $a_2=F$  THEN  $c=T$ ; IF  $a_2=T$  THEN  $c=F$
  - By: 

|       |       |     |       |       |     |
|-------|-------|-----|-------|-------|-----|
| $a_1$ | $a_2$ | $c$ | $a_1$ | $a_2$ | $c$ |
| 10    | 01    | 1   | 11    | 10    | 0   |
- Genetic operators:
  - Variable length rule set
  - Well-formed bit string hypotheses

## GABIL [Dejong et al. 1993]

- Crossover

– a1 a2 c a1 a2 c  
– h1: 1[0 01 1 11 1]0 0  
– h2: 0[1 1]1 0 10 01 0

- Choose crossover point for h1 as <1,8>

- Restrict the crossover points in h2: <1,3>, <1,8>, <6,8>.

- If <1,3>, Results:

– 1[1 1]0 0  
– 0[0 01 1 11 1]1 0 10 01 0

## GABIL Extensions

- Add new genetic operators, also applied probabilistically:

– AddAlternative: generalize constraint on  $a_i$  by changing a 0 to 1

– DropCondition: generalize constraint on  $a_i$  by changing every 0 to 1

- Add new fields to bit string:

– a1 a2 c a1 a2 c AA DC  
– 01 11 0 10 01 0 1 0

## GABIL Results

- Average performance on a set of 12 synthetic problems:
  - GABIL without AA and DC operators: 92.1% accuracy
  - GABIL with AA and DC operators: 95.2% accuracy
  - Symbolic learning methods (C4.5, ID5R, AQ14) ranged from 91.2 to 96.6% accuracy

## Schema

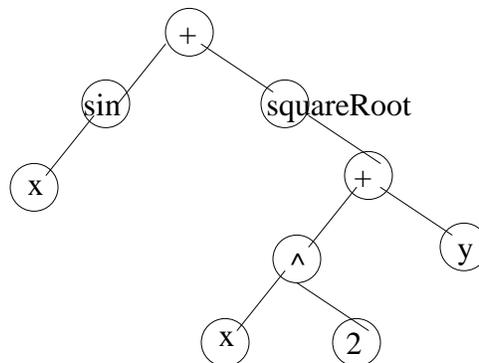
- How to characterize the evolution of population in GA?
  - Schema: string containing 0,1 \*
  - 0\*1, representing 001, 011
- Characterize population by number of instances representing each possible schema
  - $m(s, t)$ : number of instances of schema  $s$  in population at time  $t$

## Schema

- $E[m(s, t+1)] \geq$   
 $u(s, t) * m(s, t) / f(t)$   
 $*(1 - p_c * d(s)/(l - 1))$   
 $*(1 - p_m)^{o(s)}$
- $f(t)$ : average fitness of population at time  $t$
- $u(s, t)$ : average fitness of schema  $s$  at time  $t$
- $p_c$ : prob. of single point crossover operator
- $p_m$ : prob. of mutation operator
- $l$ : length of single bit strings
- $o(s)$ : #of defined bits in schema  $s$
- $d(s)$ : distance between leftmost and rightmost defined bits in schema  $s$

## Genetic Programming

- population of programs represented by trees:  
 $\sin(x) + \text{squareRoot}(\text{square}(x) + y)$



Crossover

## Biological Evolution

- Lamarck (19<sup>th</sup> century)
  - Individual genetic makeup was altered by lifetime experience
  - Current evidence contradicts this view
  - But it improve efficiency in GP
- What is the impact of individual learning on population evolution?

## Baldwin Effect

- Assume:
  - Individual learning has no direct effect on individual DNA
- Then:
  - Ability of individuals to learn will support more diverse gene pool
  - More diverse gene pool will support faster evolution of the gene pool
- So, individual learning indirectly increases the evolution rate

## Baldwin Effect

- Plausible example:

- New predator appears in environment
- Individuals who can learn (to avoid it) will be selected
- Increase in learning individuals will support more diverse gene pool
- Resulting in faster evolution
- Possibly resulting in new non-learned (or genetic) traits such as instinctive fear of the predator

## Experiments on Baldwin Effect

[Hinton & Nowlan, 1987]

- Evolve simple neural networks:
  - Some networks weights fixed during lifetime, while others trainable
  - Genetic makeup determines which are fixed, and their weight values
- Results:
  - With no individual learning, population failed to improve overtime
  - With individual learning
    - Early generations: population contained many individuals with many trainable weights
    - Later generations: higher fitness, while number of trainable weights decreased

## Usage

- huge search space
- avoid the problem of local minimal, so after several generations, the solution is very near to the optimal one.

## Acknowledgement

- Based on Tom M. Mitchell's slides
- From "Machine Learning", Tom M. Mitchell